



Red Flags to Look For When Reviewing Protocols

1. Are the 111 Criteria Met?
2. Does the study team expertise match that needed for the study?
 - a. If an interventional study, is there an MD on the team?
 - b. If vulnerable populations are the target, is there the expertise with this group on the team?
3. If vulnerable populations are included in the study:
 - a. If not the targeted study population, are they needed in the study?
 - b. Are risks minimized?
 - c. What risk level for children & number of parent signatures?
 - d. Have criteria for studies with prisoners been met?
4. Is placebo use justified & appropriate?
5. FDA issues:
 - a. Is the IND # & FDA Correspondence included?
 - b. Is the IDE # included?
 - c. Does the IRB need to make a significant risk/non-significant risk determination for a medical device?
6. Is a Certificate of Confidentiality needed? Will they be applying for one?
7. If an international study...
 - a. Does the team have experience in that country & culture?
 - b. Are they partnering with a local organization?
 - c. Do they have local IRB approval?